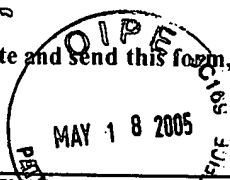


5-19-05  
B  
PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
**or Fax (703) 746-4000**



**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All other correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless correspondence is now or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590 02/10/2005

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

<b>Amir N. Penn (40,767)</b>	(Depositor's name)
<i>Amir N. Penn</i>	(Signature)
5/6/05	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/830,750	04/30/2001	Hiroji Saito	9683/77	3621

**TITLE OF INVENTION:** APPARATUS FOR TRANSMITTING PROGRAM INFORMATION, COMMUNICATING SYSTEM, METHOD OF TRANSMITTING PROGRAM INFORMATION, METHOD OF INSTRUCTING PROGRAM RECORDING OPERATION, AND METHOD OF INSTRUCTING PROGRAM PURCHASING OPERATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$0	\$1400	05/10/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
GANTT, ALAN T	2684	455-003060

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

**Brinks Hofer Gilson & Lione**

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

**NTT Advanced Technology Corporation Tokyo, Japan**  
**NTT DoCoMo, Inc. Tokyo, Japan**

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

*Amir Penn 40,767*  
*5/18/05*

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee **\$1,400.00**
- ☐ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies **\$30.00**  
**10**

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number **23-1925** (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Amir N. Penn*  
**Amir N. Penn**

Date

*5/6/05*

Registration No.

**40,767**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



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DEPOSIT INFORMATION

Express Mail Label No.: EV 314906566 US

Date of Deposit: May 18, 2005

BRINKS  
HOFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Hiroji SAITO, et al.

Appln. No.: 09/830,750

Filed: April 30, 2001

For: APPARATUS FOR TRANSMITTING PROGRAM  
INFORMATION, COMMUNICATING SYSTEM,  
METHOD OF TRANSMITTING PROGRAM  
INFORMATION, METHOD OF INSTRUCTING  
PROGRAM RECORDING OPERATION AND  
METHOD OF INSTRUCTING PROGRAM  
PURCHASING OPERATION

Examiner: Alan T. Gantt

Art Unit: 2684

Attorney Docket No: 9683-77

Mail Stop Issue Fee  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached are:

- ☒ **Substitution of Issue Fee Form (1 page);**  
**Corrected PTO Part B Fee(s) Transmittal Letter (1 page)**

- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			X100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+ \$360=	
Total					\$			Total	
								\$	

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

5/18/05  
Date

  
Amir N. Penn (Reg. No. 40,767)

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage as Express Mail No. EV 314906566 US in an envelope addressed to:



Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
on May 18, 2005  
Date of Deposit

Amir N. Penn (40,767)

Name of applicant, assignee or  
Registered Representative

*Amir N. Penn*

Signature

5/18/05

Date of Signature

Our Case No. 9683-77

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hiroji SAITO, et al.

Serial No.: 09/830,750

Filing Date: April 30, 2001

For: APPARATUS FOR TRANSMITTING PROGRAM  
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METHOD OF INSTRUCTING PROGRAM RECORDING  
OPERATION, AND METHOD OF INSTRUCTING  
PROGRAM PURCHASING OPERATION

Examiner: Alan T. GANTT

Group Art Unit No.: 2684

## SUBSTITUTION OF ISSUE FEE FORM

Mail Stop Issue Fee

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Please substitute the enclosed Issue Fee Form for the above-referenced case. The Assignee data included on previously submitted Issue Fee Form was incomplete. The complete Assignee data is as follows:

Entity:

NTT DoCoMo, Inc.

NTT Advanced Technology Corporation

Residence:

Tokyo, Japan

Tokyo, Japan

Respectfully submitted,

*Amir N. Penn*

Amir N. Penn

Registration No. 40,767

Attorney for Applicant

BRINKS HOFER GILSON & LIONE

P.O. BOX 10395

CHICAGO, ILLINOIS 60610

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